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Published in:
Chemical Communications

DOI:
10.1039/a707274k

Publication date:
1998

Resolution and circular dichroism of an asymmetrically cage-opened [60]fullerene derivative

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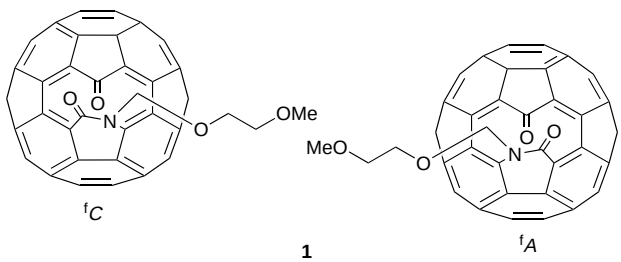
The enantiomers of the first cagewise *inherently* asymmetric C_{60} derivative, *i.e.* *N*-MEM (MEM = 2-methoxyethoxymethyl) keto lactam 1, have been separated by HPLC and their chiroptical properties compared with data obtained from C_{60} derivatives that are dissymmetric or asymmetric due to chiral addends.

N-MEM keto lactam **1** (Fig. 1) is the first example of a well-defined open-cage derivative of C_{60}.[1] It is obtained from C_{60} in two synthetic steps, and (±)-**1** serves as the key intermediate in the synthesis of azafullerenes, *e.g.* $(C_{59}N)_2$,[2] $C_{59}NH$[3] and $K_6C_{59}N$.[4] Stereochemically, **1** is an interesting molecule, because it can be regarded as a (highly symmetrical) sphere with a chiral orifice. A larger version could be envisioned as a chiral selector, operating by enantioselective endohedral complexation.

Various chiral C_{60} derivatives have been prepared thus far.[5,6] Chirality can be introduced into C_{60} derivatives *via* chiral addends, either asymmetric[7,8] or C_2-symmetric,[9] as well as *via* an inherently asymmetric addition or substitution pattern, such as in 2 : 1 adducts with C_2-symmetry.[10,11] Higher fullerenes and carbon nanotubes can be inherently chiral.[12] C_2 C_{76}, D_3 C_{78} and D_2 C_{80} have been obtained in enantiomerically pure form *via* kinetic resolution by asymmetric osmylation, providing optically active forms of carbon.[13] Since keto lactam **1** is the first open-cage C_{60} derivative with an inherently asymmetric cage functionalization pattern, it is of interest to determine a possible resemblance of the chiroptical properties of **1** with those of the chirally modified C_{60} compounds. Here we present the successful resolution of (±)-**1** and the chiroptical properties of the individual enantiomers.

Chromatography of racemic **1**[1] as a 0.3 mg ml^{-1} solution in toluene–1,2-dichlorobenzene (2 : 1 v/v) on an analytical chiral stationary phase HPLC column [Bakerbond Pirkle Type DNBPG 5 μm (4.6 × 250 mm)], using *n*-hexane–$CHCl_3$–Pr^iOH (70 : 30 : 1 v/v/v) as the eluent (flow rate 1 ml min^{-1}) and UV detection at λ = 328 nm, gave enough separation to yield the enantiomers in 80 and 92% ee (as inferred from a second HPLC experiment, Fig. 2), respectively. The two fractions were assigned to (+)-**1** and (−)-**1**, respectively, by determining their specific rotations at 589 nm.



^fC **1** ^fA

Fig. 1 Molecular structure of the two enantiomeric forms of **1** with configurational description ^fC and ^fA according to ref. 6

The UV–VIS spectrum of (±)-**1**, the circular dichroism (CD) spectrum and the resulting *g* value ($g = \Delta\varepsilon/\varepsilon \approx \Delta A/A$) of (+)-**1** are shown in Fig. 3. The CD spectrum of (−)-**1** was found to have a mirror image relation to that of (+)-**1**. Similar to the UV–VIS absorption, the CD spectrum of (+)-**1** extends throughout the 200–700 nm spectral range. The strongest Cotton effect is found at λ = 325 nm ($\Delta\varepsilon$ = +29 M^{-1} cm^{-1}), coinciding with the lowest energy dipole-allowed transition of **1** (λ = 328 nm). Comparison with, for example, (+)-hexahelicene, which has a *g* value of +7.0 × 10^{-3} at λ = 325 nm for the π–π* transition, shows however that the *g* value of (+)-**1** (g_{325} = +6.4 × 10^{-4}) is an order of magnitude lower and represents a typical value for a noninherently dissymmetric chromophore.[14] Various chirally modified [6,6]-dihydrofullerene derivatives show a diagnostic CD band at about λ = 430 nm, whose sign has been taken as an indicator for the absolute configuration.[8,15–17] For (+)-**1**, a local extreme in the CD spectrum is found at λ = 426 nm, where the Cotton effect is negative. Tentatively applying the sector rule of Wilson *et al.* to **1** suggests that (+)-**1** corresponds to the ^fA configuration (Fig. 1).[15] The largest *g* values of (+)-**1** are found in the wavelength range λ = 600–700 nm, with a maximum of $g = -1.7 \times 10^{-2}$ at 659 nm, a typical value for a magnetically-allowed dipole-forbidden transition.

In summary, we have separated the two enantiomers of the C_{60} derivative (±)-**1** using chiral HPLC, providing a first example of an open-cage fullerene with a chiral orifice. The inherently chiral functionalization of the eleven-membered ring causes a dissymmetric perturbation on the π system of the fullerene, resulting in optical activity extending over the entire

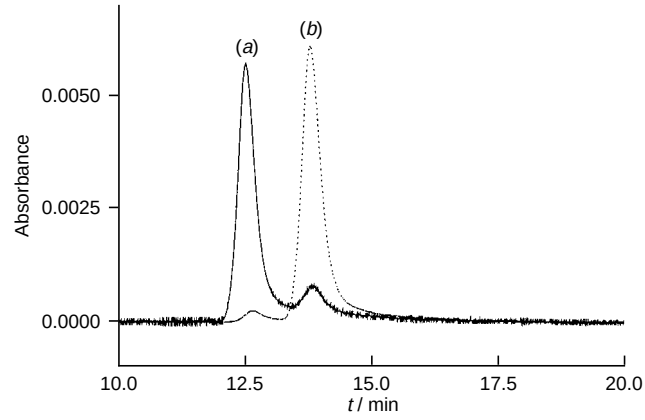


Fig. 2 Chiral HPLC chromatogram of separated samples of (*a*) (+)-**1** and (*b*) (−)-**1** (Bakerbond Pirkle Type DNBPG, eluent: *n*-hexane–$CHCl_3$–Pr^iOH (70 : 30 : 1 v/v/v), flow rate 1 ml min^{-1}, UV detection at λ = 328 nm)

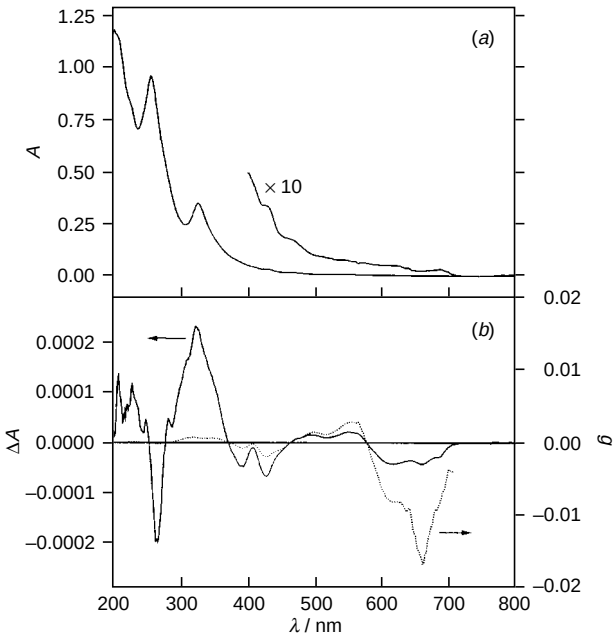


Fig. 3 (*a*) UV–VIS absorption spectrum of (±)-**1** ($c = 7.6 \times 10^{-6}$ M) [λ_{max}/nm = 204 ($\varepsilon = 1.5 \times 10^5$ M^{-1} cm^{-1}, *n*-hexane), 260 (1.2×10^5, $CHCl_3$), 328 (4.5×10^4, $CHCl_3$), 428 (4.7×10^3, $CHCl_3$) and 688 (4.2×10^2, $CHCl_3$)]. (*b*) CD spectra (left axis) and *g* value (right axis) of (+)-**1** ($c = 7.6 \times 10^{-6}$ M). The UV–VIS and CD spectra were recorded using a solution in *n*-hexane for the region λ = 200–248 nm and in $CHCl_3$ for the region λ = 248–800 nm. The $CHCl_3$ spectra were recorded at higher concentrations and mathematically scaled to the spectrum in *n*-hexane for representation.

absorption spectrum, with high *g* values in the low-energy region.

Dedicated to Professor Dr Hans Wynberg on the occasion of his 75th birthday on 28th November 1997.

Footnote and References

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Received in Cambridge, UK, 8th October 1997; 7/07274K